1 Introduction

1.1 Purpose of this plan
To demonstrate progress towards results specified in the UN-REDD/Myanmar logical framework, for communication to the UN-REDD PEB and national partners, especially the Forest Department of MONREC.

1.2 Project summary

<table>
<thead>
<tr>
<th>Title</th>
<th>UN-REDD/Myanmar</th>
</tr>
</thead>
<tbody>
<tr>
<td>Starting Date</td>
<td>November 2016</td>
</tr>
<tr>
<td>Duration</td>
<td>4 years</td>
</tr>
<tr>
<td>Partners</td>
<td>Forest Department, MONREC</td>
</tr>
<tr>
<td>Target Area</td>
<td>National</td>
</tr>
<tr>
<td>Beneficiaries</td>
<td>All forest stakeholders in Myanmar</td>
</tr>
<tr>
<td>Cost</td>
<td>$5,554,370</td>
</tr>
<tr>
<td>Funding Source</td>
<td>UN-REDD MPTF</td>
</tr>
<tr>
<td>Goal</td>
<td>To strengthen the capacities of all stakeholders to manage forests sustainably so as to reduce net emissions from forestry</td>
</tr>
</tbody>
</table>

2 Logical Framework

See Document PEB 4/4

3 Indicators

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Systemic and institutional capacities, key systems and frameworks for REDD+ implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>Existence of required capacities will measure progress towards the programme’s objective</td>
</tr>
<tr>
<td>Baseline</td>
<td>No comprehensive system available; some existing capacities and institutions possible to build on (e.g. National Forest Policy, Land use policy, forest monitoring and field inventory experiences, among others)</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of the programme, all required capacities and key systems are in place</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
<tr>
<td>Tool</td>
<td>Technical reports</td>
</tr>
<tr>
<td>Frequency</td>
<td>Progress reviewed annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>PMU</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>

| Indicator                                                                 | Overall level of satisfaction in the REDD+ readiness process |
| Purpose                                                                   | To measure progress towards Outcome 1 |
### Baseline
13% of stakeholders rate their satisfaction as “poor” and 65% as “fair”

### Target
Within 1 year of the start of the programme, the total rating “poor” or “fair” falls to below 65%; after 2 years the total falls below 50%; by the end of the programme, the level is below 25%

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

### Indicator
Level of engagement in REDD+ readiness

### Purpose
To measure progress towards Outcome 1

### Baseline
Average score for self-assessed level of engagement = 3.6/10

### Target
After one year, the level of engagement in REDD+ readiness has increased by 25% above the baseline (i.e., 4.5/10); each year thereafter shows further increases

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

### Indicator
Existence of representation and consultation systems

### Purpose
To measure progress towards output 1.1

### Baseline
Following implementation of Targeted Support (TS), informal systems (TWGs) have been established or strengthened; but the TF has not been established

### Target
Within 1 year of the start of the programme, representation and consultation systems are operational; and remain so thereafter

### Data Collection
Compilation of programme reports on TEGs and other bodies

### Tool
Reports

### Frequency
Annually

### Responsible
PMU

### Reporting
Annual reports to the PMU

### Quality Control
N/A

### Indicator
Level of stakeholder satisfaction with systems

### Purpose
To measure progress towards output 1.1
### Baseline
Zero (formal systems don’t exist)

### Target
Within 18 months of the start of the programme, the level of satisfaction for all systems is at least 67% and remains at this level or higher thereafter

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Functioning UN-REDD PEB, TF, RO and TWGs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To measure progress towards output 1.2</td>
</tr>
<tr>
<td>Baseline</td>
<td>TF does not exist; RTO does not exist; 3 TWGs established during Roadmap development and re-established with TS</td>
</tr>
<tr>
<td>Target</td>
<td>Within 6 months of the start of the programme, the TF and RO established; throughout the rest of the programme, TF; RO and TWGs are active</td>
</tr>
<tr>
<td>Data Collection</td>
<td>Compilation of reports</td>
</tr>
<tr>
<td>Tool</td>
<td>Programme reports; government documents</td>
</tr>
<tr>
<td>Frequency</td>
<td>Annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>PMU</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual report to PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>

### Indicator
Level of participant satisfaction with all entities

### Purpose
To measure progress towards output 1.2

### Baseline
Zero for TF and RO (don’t exist); TWGs will be assessed

### Target
By the end of year 1, the level of satisfaction for all entities is at least 67% and remains at this level or higher

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

### Indicator
Level of stakeholder satisfaction with law enforcement, governance and transparency

### Purpose
To assess progress towards Outcome 2

### Baseline
88% of stakeholders rate these issues “poor”; 12% rate them “fair”

### Target
By the end of year 1, the percentage reporting “poor” falls below 67%; after
### Indicator
Level of knowledge about REDD+

### Purpose
To measure progress towards output 2.1

### Baseline
54% (average correct score of stakeholders across the six issues with initial awareness below 70%)

### Target
By the end of year 1, the average score for those issues scoring below 70% in the initial survey is at least 75%

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

---

### Indicator
Level of stakeholder satisfaction with access to and availability of information

### Purpose
To measure progress towards output 2.1

### Baseline
74% of stakeholders rate access to data either not accessible or partially accessible;
72% of stakeholders rate availability of data as either not available or partially available

### Target
By the end of year 1, the percentage reporting “poor” falls below 75%; after 2 years, below 60%; by the end of the programme, at least 50% rate them “fair” or “good”

### Data Collection
Through survey of stakeholders

### Tool
Stakeholder surveys

### Frequency
Annually

### Responsible
PMU SE Officer

### Reporting
Annual reports to the PEB

### Quality Control
CTA will review data collection protocol and analyses

---

### Indicator
Proposals for legal and policy reform developed and validated
### Purpose
To measure progress towards output 2.2

### Baseline
No proposals

### Target
Within 15 months of the start of the programme, a legal and policy review identifies required modifications

### Data Collection
PMU will compile the necessary documents

### Tool
Technical reports

### Frequency
Progress reviewed annually

### Responsible
PMU

### Reporting
Annual reports to the PEB

### Quality Control
N/A

### Indicator
Legal and policy amendments adopted

### Purpose
To measure progress against output 2.2

### Baseline
No modifications

### Target
By the end of the programme at least 75% of the proposed modifications have been enacted; the process for the remaining modifications is underway

### Data Collection
PMU will compile the necessary documents

### Tool
Technical reports

### Frequency
Progress reviewed annually

### Responsible
PMU

### Reporting
Annual reports to the PEB

### Quality Control
N/A

### Indicator
Submission of an SoI to the UNFCCC, with content demonstrating that Myanmar is addressing and respecting Cancun safeguards

### Purpose
To measure progress towards Outcome 3

### Baseline
Existing policies, laws and regulations have not been assessed for the applicability to REDD+, suitable safeguards have not been amended or designed, and a safeguards information system is not in place

### Target
By the end of year 4, a summary of information drawing on initial SIS content has been submitted to the UNFCCC

### Data Collection
Review of UNFCCC website

### Tool
SoI document on UNFCCC website

### Frequency
End of programme

### Responsible
PMU

### Reporting
End of programme report

### Quality Control
N/A

### Indicator
Delivery of required elements of a national approach to safeguards
### Purpose
To measure progress towards output 3.1

### Baseline
No definition of and national approach to safeguards consistent with the Cancun Agreements of COP 16 exists

### Target
- By the end of Year 1, PLRs have been reviewed and safeguards roadmap is developed and approved
- By the end of year 2, potential risks and benefits of REDD+ PaMs have been assessed and results fed into the development of the National REDD+ Strategy; and national approach to safeguards exists
- By the end of year 3, a country-level grievance mechanism has been developed, tested and approved

### Data Collection
PMU will compile the necessary documents

### Tool
Technical reports

### Frequency
Progress reviewed annually

### Responsible
PMU

### Reporting
Annual reports to the PEB

### Quality Control
N/A

### Indicator
Degree to which key areas of stakeholder concerns (socioeconomic, environmental) are addressed through data and information content of the SIS

### Purpose
To measure progress towards output 3.2

### Baseline
No reporting framework and SIS exists

### Target
- At the end of year 2, existing information and sources have been reviewed, new ones developed as needed, options for a reporting framework and a SIS structure have been analyzed and the preferred option has been selected and approved
- At the end of year 3, a SIS is finalized and is integrated with an NFMS
- At the end of the last year a fully functional safeguards information system is in place (including a country-level grievance mechanism\(^1\)), providing information on respecting and addressing safeguards in line with country progress on REDD+ implementation. Capacity and procedures to produce Summaries of Information are in place

### Data Collection
PMU will compile the necessary documents

### Tool
Technical reports

### Frequency
Progress reviewed annually

### Responsible
PMU

### Reporting
Annual reports to the PEB

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\(^1\) This refers to the grievance mechanism set up by the country to address grievances related to REDD+ in the medium to longer term, which is distinct from the UN agency-specific grievance mechanisms referred to on page 22 of this document, also available to programme stakeholders to address grievances specific to the activities of the national programme.
<table>
<thead>
<tr>
<th><strong>Quality Control</strong></th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Indicator</strong></td>
<td>Degree of consistency and compliance of the NFMS with UNFCCC requirements and national reporting capacities for REDD+ (Scope, scale, approaches, methodologies)</td>
</tr>
<tr>
<td><strong>Purpose</strong></td>
<td>To measure progress towards Outcome 4</td>
</tr>
<tr>
<td><strong>Baseline</strong></td>
<td>National system for forest monitoring/ measurement of REDD+ Policies and Measures, and reporting not fully in compliance with UNFCCC requirements or insufficient</td>
</tr>
</tbody>
</table>
| **Target**          | By the end of year 2, all major planning and design questions for the NFMS are answered  
                        By the end of year 3, an improved data base and data management system for the NFMS available  
                        By the end of the programme, the NFMS is fully compliant with Warsaw framework decisions; scope, scale and methodologies applied are consistent with monitoring requirements for Myanmar’s REDD+ strategy implementation and the reporting commitments to UNFCCC (NDC, NC, BURs, GHG-I) |
| **Data Collection** | PMU will compile the necessary documents |
| **Tool**            | Programme documents and government documents |
| **Frequency**       | Annually |
| **Responsible**     | MRV Advisor |
| **Reporting**       | Annual reports to the PEB |
| **Quality Control** | N/A |

**Indicator** Degree to which Myanmar’s submitted FREL proposals are compliant with the TA assessment results

**Purpose** To measure progress towards Outcome 4

**Baseline** Methodology for REL/RL in process of development

**Target** By the end of year 2, a REL/RL has been submitted to the UNFCCC and undergone Technical Assessment  
By the end of the programme a second version of FREL is prepared to be submitted to the UNFCCC

**Data Collection** PMU will compile the necessary documents

**Tool** Programme documents and government documents

**Frequency** Annually

**Responsible** MRV Advisor

**Reporting** Annual reports to the PEB

**Quality Control** N/A
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Levels of stakeholder awareness</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To measure progress towards output 4.1</td>
</tr>
<tr>
<td>Baseline</td>
<td>Average correct answers for 4 questions relating to NFMS = 57.8%</td>
</tr>
<tr>
<td>Target</td>
<td>Within 12 months of the start of the programme, 75% of national forestry officials and key stakeholders are able to correctly answer questions on the purpose, functions and tools of an NFMS</td>
</tr>
<tr>
<td>Data Collection</td>
<td>Through survey of stakeholders</td>
</tr>
<tr>
<td>Tool</td>
<td>Stakeholder surveys</td>
</tr>
<tr>
<td>Frequency</td>
<td>Annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>PMU SE Officer</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>CTA will review data collection protocol and analyses</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Degree to which NFMS and FREL Action Plans are implemented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To measure progress towards output 4.1</td>
</tr>
<tr>
<td>Baseline</td>
<td>NFMS and FREL/FRL Action Plans available; baseline capacity needs assessment of the NFMS Action Plan</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of the programme the main expected outcomes of both Action Plans are attained</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
<tr>
<td>Tool</td>
<td>Programme documents and government documents</td>
</tr>
<tr>
<td>Frequency</td>
<td>Annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>MRV Advisor</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Degree of consistency and compliance of the Satellite land monitoring system (SLMS) with UNFCCC decisions and authorised technical guidance (FAO, IPCC, GOFC-GOLD, GFOI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 4.2</td>
</tr>
<tr>
<td>Baseline</td>
<td>Existing SLMS with limitations in scope, methodologies and UNFCCC compliant report generating capacities</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of the programme, Myanmar has an UNFCCC compliant SLMS</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
<tr>
<td>Tool</td>
<td>Programme documents and government documents</td>
</tr>
<tr>
<td>Frequency</td>
<td>Annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>MRV Advisor</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>
### Indicator: National land use assessment completed

**Purpose:** To assess progress towards output 4.2

**Baseline:** Limitations in consistency in national LU/LUC assessment carried out by different agencies

**Target:** Within 3 years of the start of the programme, a harmonized national land use classification system established; by the end of the programme capacity to carry out regular national assessments are established and functional

**Data Collection:** Compilation of reports

**Tool:** Land use assessment results/data with open access web-based tools; Cross/Inter-agency agreements on harmonization of data and land classification

**Frequency:** As required

**Responsible:** MRV Advisor

**Reporting:** Annual reports to the PEB

**Quality Control:** N/A

### Indicator: Degree of consistency of NFI design and data collection approaches with information and decision-making needs at national level (e.g. REDD+ strategy, national Forest and Land use Policy) and for UNFCCC reporting requirements

**Purpose:** To assess progress towards output 4.3

**Baseline:** Existing Forest Inventory methodology not suitable for REDD+ or other national reporting requirements (FMU level inventories only)

**Target:** Within 24 months of the start of the programme, a new multipurpose NFI methodology designed  
By the end of the programme, the new NFI methodology has been consolidated

**Data Collection:** Compilation of reports

**Tool:** Programme and piloting reports; NFI methodology documentation

**Frequency:** As required

**Responsible:** MRV Advisor

**Reporting:** Annual reports to the PEB

**Quality Control:** N/A

### Indicator: Awareness of REDD+ Strategy implementation

**Purpose:** To assess progress towards Outcome 5

**Baseline:** No National REDD+ Strategy

**Target:** By the end of the programme, at least 90% of stakeholders in the national REDD+ stakeholder network know that the Strategy is under implementation
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Drivers of deforestation and forest degradation assessed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 5.1</td>
</tr>
<tr>
<td>Baseline</td>
<td>Drivers’ background study completed with TS</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of year 1, an official report on drivers has been finalized</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
<tr>
<td>Tool</td>
<td>Technical reports</td>
</tr>
<tr>
<td>Frequency</td>
<td>Progress reviewed annually</td>
</tr>
<tr>
<td>Responsible</td>
<td>PMU</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Priority list of policies and measures agreed in an inclusive consultation process</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 5.1</td>
</tr>
<tr>
<td>Baseline</td>
<td>The REDD+ readiness road map indicates broad strategies for REDD+ implementation; information on existing support programs is weak</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of year 1, a list of priority policies and measures has been prepared and consulted broadly By 18 months, the final list of policies and measures is complete</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
<tr>
<td>Tool</td>
<td>Technical reports</td>
</tr>
<tr>
<td>Frequency</td>
<td>Progress reviewed after 1 year and after 18 months</td>
</tr>
<tr>
<td>Responsible</td>
<td>PMU</td>
</tr>
<tr>
<td>Reporting</td>
<td>Annual reports to the PEB</td>
</tr>
<tr>
<td>Quality Control</td>
<td>N/A</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Indicator</th>
<th>National REDD+ strategy approved</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 5.1</td>
</tr>
<tr>
<td>Baseline</td>
<td>REDD+ readiness road map is available, but no National REDD+ Strategy</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of year 1, a draft National REDD+ Strategy is prepared Within 22 months, the National REDD+ Strategy is approved</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
</tbody>
</table>
### Tool
- **Technical reports**

### Frequency
- Progress reviewed after 1 year and after 22 months

### Responsible
- PMU

### Reporting
- Annual reports to the PEB

### Quality Control
- N/A

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Approved REDD+ Investment Programme</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 5.2</td>
</tr>
<tr>
<td>Baseline</td>
<td>No investment programme</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of year 2, an investment programme document has been completed</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
</tbody>
</table>

### Tool
- Technical reports

### Frequency
- Progress reviewed annually

### Responsible
- PMU

### Reporting
- Annual reports to the PEB

### Quality Control
- N/A

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Pilot interventions under implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>To assess progress towards output 5.2</td>
</tr>
<tr>
<td>Baseline</td>
<td>No pilot activities</td>
</tr>
<tr>
<td>Target</td>
<td>By the end of year 3, initial pilot activities under implementation in priority states/regions</td>
</tr>
<tr>
<td>Data Collection</td>
<td>PMU will compile the necessary documents</td>
</tr>
</tbody>
</table>

### Tool
- Technical reports

### Frequency
- Progress reviewed annually

### Responsible
- PMU

### Reporting
- Annual reports to the PEB

### Quality Control
- N/A

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### 4 Roles & Responsibilities

<table>
<thead>
<tr>
<th>Role</th>
<th>Responsibilities</th>
</tr>
</thead>
</table>
| PMU Staff, including Programme Coordinator, SE Officer and Comms Officer | Collection of data and analysis of survey results  
Preparation of reports to PEB |
| MRV Advisor | Compilation of results related to Outcome 4 |
| CTA | QA for indicators involving stakeholder surveys |
| UN-REDD PEB | Review of reports |
5 Data Management

5.1 Storage
All survey data will be stored on the programme shared-drive and in hard-copy in programme files

5.2 Analysis
Survey data will be analysed using Excel